



# An easy access to (*S*)-pyrrolidinones and -pyrrolidines from chiral benzylic malonates

Philippe Arzel, Vincent Freida, Philippe Weber and Antoine Fadel \*

*Laboratoire des Carbocycles (Associé au CNRS), Institut de Chimie Moléculaire d'Orsay, Bât. 420, Université de Paris-Sud, 91405 Orsay, France*

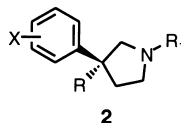
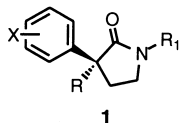
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## Abstract

From chiral benzylic malonic acid esters (*R*)-(+)-**4**, available with high enantiomeric excesses by enzymatic hydrolysis (PLE acetic powder), enantiomerically enriched pyrrolidinones **1** and pyrrolidines **2** were prepared. This rapid and competitive method was developed via enol ether formation, and subsequent one-pot cyclisation, in good overall yield. © 1999 Elsevier Science Ltd. All rights reserved.

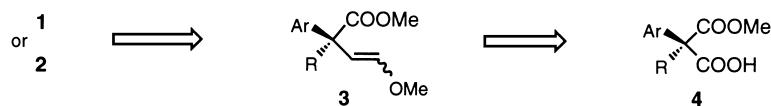
## 1. Introduction

A new series of benzylic quaternary substituted  $\gamma$ -lactams **1** presents a phosphodiesterase type IV (PDE IV) inhibitory activity.<sup>1,2</sup> Their analogues, 3-arylpiperidines **2**, exhibit dual histamine H<sub>1</sub>/tachykinin NK1 receptor antagonist properties.<sup>3,4</sup> Such molecules have also attracted special attention due to their analgesic effects.<sup>5–7</sup> To our knowledge only a few methods for the synthesis of this class of compounds have been described, moreover rarely in non-racemic form.<sup>5b,8,9</sup>



In previous papers, we have described the asymmetric construction of quaternary carbons from chiral malonates and their subsequent transformation into sesquiterpenes<sup>10</sup> and alkaloids.<sup>11</sup> Herein, we report that this strategy can be applied to the synthesis of  $\gamma$ -lactams **1** and analogous pyrrolidines **2**, via the enol ether **3**, readily available from chiral malonates **4** (Scheme 1).

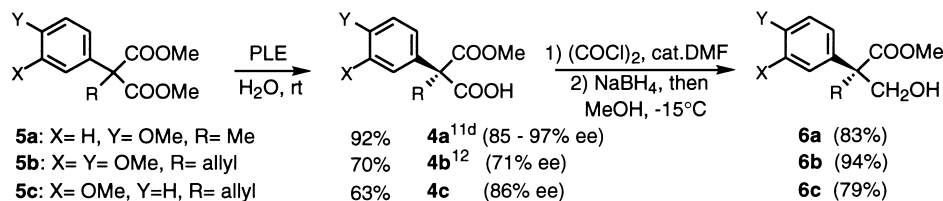
\* Corresponding author. Fax: +33(1)69156278; e-mail: antfadel@icmo.u-psud.fr



Scheme 1.

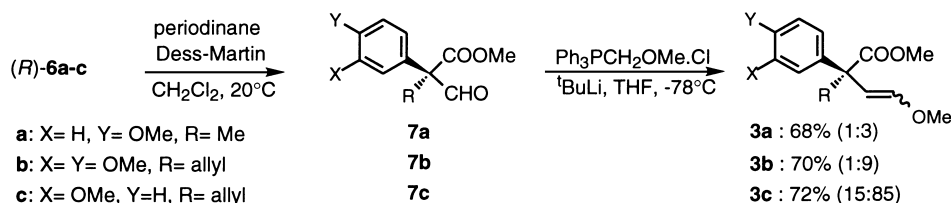
## 2. Results and discussion

The prochiral dimethyl malonates **5a–c** were prepared in good yields from methyl arylacetate by successive alkylations with methyl iodide (or allyl bromide) and methyl chloroformate. Then these malonates **5a–c** were submitted to enantioselective enzymatic hydrolysis using pig liver esterase (PLE) to provide the acid esters<sup>†</sup> (*R*)-(+)-**4a–c**<sup>12</sup> in good yields and high enantiomeric excesses.<sup>‡</sup> In the case of the acid ester **4a**, the ee was increased to 97% by crystallisation (ether:pentane). Formation of  $\beta$ -hydroxy ester **6a–c** was then achieved using our previously reported procedure (Scheme 2).<sup>10b</sup>



Scheme 2.

Thus, reaction of (*R*)-(+)-**4a–c**, with (COCl)<sub>2</sub>–cat. DMF,<sup>13</sup> followed by reduction of the resulting acyl chlorides (NaBH<sub>4</sub>, THF then MeOH, 15 equiv.)<sup>14</sup> gave the  $\beta$ -hydroxy esters (*R*)-**6a–c** in excellent yields. These hydroxy esters (*R*)-**6** were then oxidised with the Dess–Martin reagent<sup>15</sup> to lead quantitatively to aldehydes **7a–c**, which under Wittig reaction gave the enol ethers (*S*)-**3a–c**<sup>16</sup> as a mixture of *E–Z* isomers. Good yields were obtained for all these reactions (Scheme 3).



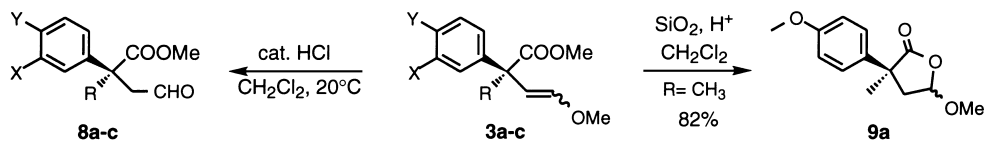
Scheme 3.

The enol ethers **3** were transformed quantitatively, under acidic conditions, into aldehydes **8a–c**. These latter were directly used in the next step without purification. In the case of **3a** we observed that the hydrolysis over silica gel in CH<sub>2</sub>Cl<sub>2</sub> in the presence of a catalytic amount of HCl gave the methoxy lactone **9a** (Scheme 4).

The crude aldehydes **8a–c** were transformed by a one-pot procedure (reaction with benzylamine, reduction with NaBH<sub>3</sub>CN, then heating at 66°C in THF), to a mixture of  $\gamma$ -lactams (*S*)-**1a–c**<sup>17</sup> (50–60% yield) and  $\gamma$ -lactams **10a–c** (10–13% yield) (Scheme 5). These latter were formed by cyclisation of **11a–c** during the heating before reduction with NaBH<sub>3</sub>CN, since heating of imine **11a**, formed in situ,

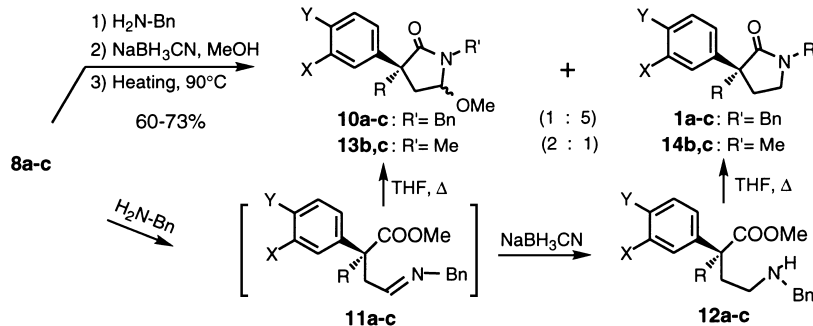
<sup>†</sup> The absolute configuration of (+)-**4a–c** was assigned to be (*R*) by comparison with aryl malonic acid esters reported previously.<sup>10,11</sup>

<sup>‡</sup> The enantiomeric excesses of (*R*)-(+)-**4a–c** were determined from the <sup>1</sup>H NMR spectra of their salts with (*R*)-(+)-1-naphthylethylamine.



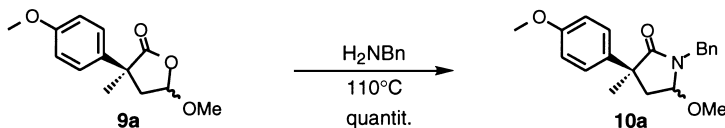
Scheme 4.

with methanol in THF without the reducing reagent gave quantitatively lactam **10a**. Treatment of **10a–c** with an excess of  $\text{NaBH}_3\text{CN}$  (4 equiv.) did not give the expected lactams (*S*)-**1a–c**. The amines **12a–c** were isolated only as HCl salts. In the case of aldehydes **8b,c** we tested this one-pot transformation using methylamine. This led to a 2:1 mixture of the two  $\gamma$ -butyrolactams **13b,c**:**14b,c**, but in lower overall yields (30% for **13b,c** and 15% for **14b,c**).



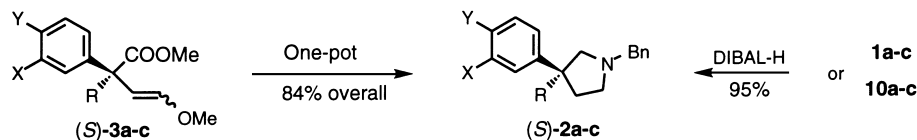
Scheme 5.

On the other hand, the reaction of the lactone **9a** with benzylamine ( $110^\circ\text{C}$ )<sup>9a</sup> gave quantitatively the lactam **10a** (Scheme 6).



Scheme 6.

The reduction of lactams (*S*)-**1a–c** or **10a–c** by DIBAL-H ( $-78 \rightarrow 20^\circ\text{C}$ ) gave the desired pyrrolidines (*S*)-**2a–c**<sup>18</sup> in 95% yields. It is interesting to note that this one-pot procedure starting from enol ethers **3a–c**, by successive hydrolysis ( $\text{H}^+$ ), condensation with amine ( $\text{H}_2\text{NBn}$ ), heating ( $90^\circ\text{C}$ ) and then reduction with an excess of DIBAL-H (5 equiv.), gave the expected pyrrolidines (*S*)-**2a–c** in 84% overall yield from **3a–c** (Scheme 7). This procedure thus allows easy and rapid access to a wide variety of pyrrolidines in high yields.



Scheme 7.

In conclusion, from readily available chiral malonates **4** (ee >80%), we have developed, via enol ether formation and subsequent one-pot cyclisation ( $\text{H}^+$ ,  $\text{H}_2\text{NR}$ ,  $\Delta$ , then DIBAL-H), a rapid and competitive method to the pyrrolidines (*S*)-**2** (three steps, 57% overall yield). These compounds constitute potential precursors to a wide variety of analgesic pyrrolidines. Synthetic applications of this method to total synthesis of analgesic pyrrolidines are currently under way.

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12. Selected data: Compound **4b**: [ $\alpha$ ]<sub>D</sub><sup>20</sup> = +16.5 (c 1, CHCl<sub>3</sub>); (ee=71%); IR (neat): 1750 (C=O), 1730 (C=O<sub>ester</sub>); <sup>1</sup>H NMR (CDCl<sub>3</sub>, 250 MHz):  $\delta$ =7.00–6.79 (m, 3H), 5.90–5.65 (m, 1H), 5.30–5.10 (m, 2H), 3.89 (s, 6H), 3.83 (s, 3H, Me<sub>ester</sub>), 3.18 [ABX system,  $\Delta\nu_{AB}$ =47.8 Hz, 3.30 (A part, dd,  $J_{AB}$ =13.6 Hz,  $J_{AX}$ =8.8 Hz, 1H), 3.06 (B part, dd,  $J_{AB}$ =13.6 Hz,  $J_{BX}$ =8.1 Hz, 1H)]; <sup>13</sup>C NMR (CDCl<sub>3</sub>, 62.86 MHz):  $\delta$ =173.4 (C<sub>1</sub>), 173.3 (C<sub>3</sub>) [6 arom C: 148.9, 148.7, 128.5, 119.6, 110.8, 110.7], 132.3 (d), 119.5 (t), 61.5 (C<sub>2</sub>), 55.9, 55.8, 53.2, 39.6.
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16. Selected spectroscopic data: Compound **3a trans**: [ $\alpha$ ]<sub>D</sub><sup>20</sup> = –27.5 (c 1, CHCl<sub>3</sub>); (ee=97%); IR (neat): 1720 (C=O), 1640 (C=C); <sup>1</sup>H NMR (CDCl<sub>3</sub>, 200 MHz):  $\delta$ =7.28–7.18 (m, 2H), 6.92–6.80 (m, 2H), 6.34 (d,  $J$ =13.5 Hz, 1H), 5.83 (d,  $J$ =13.5 Hz, 1H), 3.80 (s, 3H), 3.70 (s, 3H), 3.60 (s, 3H), 1.60 (s, 3H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 62.86 MHz):  $\delta$ =175.9 (C<sub>1</sub>) [6 arom C: 158.2, 136.5, 127.2 (2C), 113.5 (2C)], 148.4 (C<sub>3</sub>), 107.4 (C<sub>4</sub>), 56.0, 55.0, 52.2, 49.8 (C<sub>2</sub>), 24.8; HRMS calcd for C<sub>14</sub>H<sub>18</sub>O<sub>4</sub>: 250.1205. Found: 250.1205. Compound **3b trans**: [ $\alpha$ ]<sub>D</sub><sup>20</sup> = –7 (c 1, CHCl<sub>3</sub>); (ee=71%); <sup>1</sup>H NMR (CDCl<sub>3</sub>, 250 MHz):  $\delta$ =6.95–6.72 (m, 3H), 6.20 (d,  $J$ =13.5 Hz, 1H), 5.80–5.53 (m, 1H), 5.27 (d,  $J$ =13.5 Hz, 1H), 5.15–4.98 (m, 2H), 3.86 (s, 3H), 3.85 (s, 3H), 3.68 (s, 3H), 3.55 (s, 3H), 3.10–2.70 (m, 2H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 62.86 MHz):  $\delta$ =175.0 (C<sub>1</sub>), 149.8 (C<sub>3</sub>) [6 arom C: 148.4, 147.8, 134.6, 118.1, 110.6, 110.5], 134.0 (d), 119.1 (t), 105.7 (C<sub>4</sub>), 56.0, 55.8, 55.7, 53.8 (C<sub>2</sub>), 52.2, 42.7. Compound **3c trans**: [ $\alpha$ ]<sub>D</sub><sup>20</sup> = –12.5 (c 1, CHCl<sub>3</sub>); (ee=86%); <sup>1</sup>H NMR (CDCl<sub>3</sub>, 250 MHz):  $\delta$ =7.35–7.15 (m, 1H), 6.95–6.73 (m, 3H), 6.22 (d,  $J$ =13.7 Hz, 1H), 5.80–5.50 (m, 1H), 5.26 (d,  $J$ =13.7 Hz, 1H), 5.20–4.95 (m, 2H), 3.81 (s, 3H), 3.70 (s, 3H), 3.59 (s, 3H), 2.88 [AB system,  $\Delta\nu_{AB}$ =34.2 Hz, 2.94 (A part, dd,  $J_{AB}$ =14.7 Hz,  $J$ =7.4 Hz, 1H), 2.82 (B part, dd,  $J_{AB}$ =14.7 Hz,  $J$ =7.4 Hz, 1H)]; <sup>13</sup>C NMR (CDCl<sub>3</sub>, 62.86 MHz):  $\delta$ =174.8 (C<sub>1</sub>) [6 arom C: 159.3, 143.9, 129.1, 119.4, 113.5, 111.6], 149.8 (d), 133.9 (C<sub>3</sub>), 118.1 (t), 105.3 (C<sub>4</sub>), 56.0 (C<sub>2</sub>), 55.1, 54.1, 52.2, 42.5.
17. Selected spectroscopic data: Compound **1a**: [ $\alpha$ ]<sub>D</sub><sup>20</sup> = –8 (c 1, CHCl<sub>3</sub>); (ee=97%); IR (neat): 1690 (C=O); <sup>1</sup>H NMR (CDCl<sub>3</sub>, 200 MHz):  $\delta$ =7.40–7.15 (m, 7H), 6.95–6.80 (m, 2H), 4.53 (s, 2H<sub>benzyl</sub>), 3.80 (s, 3H), 3.25–3.15 (dd,  $J_1$ = $J_2$ =7.4 Hz, 2H), 2.48–2.30 (m, 1H), 2.20–2.02 (m, 1H), 1.55 (s, 3H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 52.29 MHz):  $\delta$ =177.5 (C<sub>2</sub>) [12 arom C: 158.2, 136.5, 135.8, 128.6 (2C), 128.0 (2C), 127.5, 127.1 (2C), 113.7], 55.2, 48.0 (C<sub>3</sub>), 46.9 (t), 43.3 (C<sub>5</sub>), 35.2 (C<sub>4</sub>), 25.2. Compound **1b**: [ $\alpha$ ]<sub>D</sub><sup>20</sup> = –8.5 (c 1, CHCl<sub>3</sub>); (ee=71%); IR (neat): 1690 (C=O); <sup>1</sup>H NMR (CDCl<sub>3</sub>, 250 MHz):  $\delta$ =7.40–7.15 (m, 6H), 7.10–6.75 (m, 2H), 5.76–5.55 (m, 1H), 5.16–5.00 (m, 2H), 4.49 (s, 2H), 3.87 (s, 6H), 3.22–3.10 (m, 2H), 2.65 (d,  $J$ =7.8 Hz, 2H), 2.45–2.18 (m, 2H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 62.86 MHz):  $\delta$ =176.1 (C<sub>2</sub>) [12 arom C: 148.8, 147.8, 136.5, 134.3, 129.1, 128.6 (2C), 128.0 (2C), 127.5, 110.7, 110.2], 134.2 (CH=), 118.4 (CH<sub>2</sub>=), 55.8 (2C), 51.5 (C<sub>3</sub>), 46.9, 43.6 (C<sub>5</sub>), 43.5, 30.3 (C<sub>4</sub>). Compound **1c**: [ $\alpha$ ]<sub>D</sub><sup>20</sup> = –21 (c 1, CHCl<sub>3</sub>); (ee=86%); IR (neat): 3080, 1690 (C=O), 1610, 1590; <sup>1</sup>H NMR (CDCl<sub>3</sub>, 250 MHz):  $\delta$ =7.38–7.12 (m, 6H), 7.12–7.00 (m, 2H), 6.80 (dd,  $J_1$ =7.9 Hz,  $J_2$ =2.6 Hz, 1H<sub>b</sub>), 5.79–5.59 (m, 1H), 5.18–5.02 (m, 2H), 4.56 (like AB system, d,  $J$ =14.7 Hz, 1H<sub>benzyl</sub>), 4.47 (d,  $J$ =14.7 Hz, 1H<sub>benzyl</sub>), 3.81 (s, 3H), 3.24–3.08 (m, 2H, CH<sub>2</sub>-N), 2.70 (br d,  $J$ =7.4 Hz, 2H, allyl), 2.45–2.17 (m, 2H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 62.86 MHz):  $\delta$ =175.8 (C<sub>2</sub>) [12

- arom C: 159.6, 143.6, 136.4, 129.3, 128.6 (2C), 128.0 (2C), 127.5, 118.8, 112.6, 112.0], 134.1 (d), 118.5 (t), 55.2, 52.0 (C<sub>3</sub>), 46.9, 43.5 (C<sub>5</sub>), 43.3, 30.4 (C<sub>4</sub>); Anal. calcd for C<sub>21</sub>H<sub>23</sub>N<sub>1</sub>O<sub>2</sub>: C, 78.47; H, 7.21; N, 4.36. Found: C, 78.07; H, 7.28; N, 4.31.
18. Selected data: Compound **2**: [ $\alpha$ ]<sub>D</sub><sup>20</sup> = -59.6 (c 1, CHCl<sub>3</sub>); (ee=97%); <sup>1</sup>H NMR (CDCl<sub>3</sub>, 200 MHz):  $\delta$ =7.45–7.15 (m, 7H), 6.90–6.80 (m, 2H), 3.82 (s, 3H), 3.75 (s, 2H<sub>benzyl</sub>), 2.84 (s, 2H), 3.05–2.90 (m, 1H), 2.80–2.60 (m, 1H), 2.30–2.15 (m, 1H), 2.10–1.90 (m, 1H), 1.45 (s, 3H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 52.29 MHz):  $\delta$ =[12 arom C: 157.5, 142.3, 138.7, 128.8 (2C), 128.2 (2C), 127.0, 126.8 (2C), 113.5 (2C)], 67.1, 60.4 (C<sub>2</sub>), 55.2, 53.6 (C<sub>5</sub>), 45.0 (C<sub>3</sub>), 39.7 (C<sub>4</sub>), 30.5. Compound **2b**: [ $\alpha$ ]<sub>D</sub><sup>20</sup> = -21 (c 1, CHCl<sub>3</sub>); (ee=71%); <sup>1</sup>H NMR (CDCl<sub>3</sub>, 250 MHz):  $\delta$ =7.45–7.15 (m, 6H), 7.00–6.68 (m, 2H), 5.58–5.38 (m, 1H), 5.07–4.88 (m, 2H), 3.88 (s, 3H), 3.87 (s, 3H), 3.67 (m, 2H<sub>benzyl</sub>), 2.79 [AB system,  $\Delta\nu_{AB}$ =59.2 Hz,  $J_{AB}$ =9.2 Hz, 2H), 2.98–2.36 (m, 2H)], 2.59–2.50 (m, 2H), 2.21–2.02 (m, 2H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 62.86 MHz):  $\delta$ =[12 arom C: 148.3, 146.8, 140.7, 139.6, 128.3 (2C), 128.0 (2C), 126.6, 118.5, 110.4, 110.35], 135.4 (CH=), 117.0 (CH<sub>2</sub>=), 64.2, 60.2 (C<sub>2</sub>), 55.7 (2C), 53.5 (C<sub>5</sub>), 48.8 (C<sub>3</sub>), 47.2, 37.3 (C<sub>4</sub>). Compound **2c**: [ $\alpha$ ]<sub>D</sub><sup>20</sup> = -40 (c 1, CHCl<sub>3</sub>); (ee=86%); <sup>1</sup>H NMR (CDCl<sub>3</sub>, 200 MHz):  $\delta$ =7.42–7.15 (m, 6H), 6.85–6.68 (m, 3H), 5.60–5.35 (m, 1H), 5.05–4.87 (m, 2H), 3.80 (s, 3H), 3.67 [AB system,  $\Delta\nu_{AB}$ =21.1 Hz, 3.72 (A part, d,  $J_{AB}$ =12.6 Hz, 1H<sub>benzyl</sub>), 3.62 (B part, d,  $J_{AB}$ =12.6 Hz, 1H<sub>benzyl</sub>)], 3.04–2.87 (m, 1H), 2.81 [A'B' system,  $\Delta\nu_{A'B'}$ =60.2 Hz, 2.96 (d,  $J_{A'B'}$ =9.0 Hz, 1H), 2.65 (d,  $J_{A'B'}$ =9.0 Hz, 1H)], 2.63–2.45 (m, 1H), 2.55 (br d,  $J$ =8.0 Hz, 2H<sub>allyl</sub>), 2.24–1.98 (m, 2H); <sup>13</sup>C NMR (CDCl<sub>3</sub>, 52.29 MHz):  $\delta$ =[12 arom C: 159.3, 149.8, 139.6, 128.8, 128.5 (2C), 128.2 (2C), 126.8, 119.3, 113.2, 110.4], 135.4 (d), 117.1 (t), 64.0, 60.4 (C<sub>2</sub>), 55.1, 53.4 (C<sub>5</sub>), 49.4 (C<sub>3</sub>), 47.3, 36.9 (C<sub>4</sub>); HRMS calcd for C<sub>21</sub>H<sub>25</sub>N<sub>1</sub>O<sub>1</sub>: 307.1936. Found: 307.1927.